# How to Pledge & Unpledge Holdings with ARCH FINANCE LTD IN NSDL & CDSL

SEBI vide its circular dated 25/02/2020 has made it compulsory for the Clients to authenticate its pledge and unpledge transactions through the Depository. NSDL & CDSL is the associated Depository in case of ARCH. Thus, henceforth all pledge and unpledge transactions will require an additional OTP verification process through the Depository – NSDL/CDSL. Limits against your shares would be provided only if the below process is completed.

### **Attention Investors:**

- 1. Stock Brokers can accept securities as margin from clients only by way of pledge in the depository system w.e.f. September 1, 2020.
- 2. Update your mobile number & email Id with your stock broker/depository participant and receive OTP directly from depository on your email id and/or mobile number to create pledge.
- 3. Pay 20% upfront margin of the transaction value to trade in cash market segment.
- 4. Investors may please refer to the Exchange's Frequently Asked Questions (FAQs) issued vide notice no. 20200731-7 dated July 31, 2020 and 20200831-45 dated August 31, 2020 and other guidelines issued from time to time in this regard.
- 5. Check your Securities /MF/ Bonds in the consolidated account statement issued by NSDL/CDSL every month.

The new process to pledge your shares with ARCH is as follows:

- 1. Placing Pledge request through email at margin@archfin.com.
- 2. Once the mail received our DP person will initiate the Pledge of the shares requested by the client.
- 3. Once the Pledge initiated by your DP the clients will received a message and mail from their DP and a link to accept these Pledge.
- 4. Now, you will be able to see that your pledge request is pending. Open the link by entering either your PAN or Client ID (in the case of CDSL 16 digit Demat account). When you submit you will be able to see the records for which pledge request was initiated by you. Click on "Generate OTP".

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- 5. You will receive the OTP via SMS / Email on your registered mobile number and email ID.
- 6. Enter the 4 digit OTP received from CDSL and click on Submit.
- 7. After clicking on submit, on the confirmation box, click on "OK
- 8. This will complete your pledging process and the success would be displayed.

## **ARCH FINANCE LTD**

# **COMPLIANCE DEPARTMENT**

### Note:-

For any query please contact on Ph. no. 8595233233, Email ID – compliance@archfin.com