ARCH
CREATING VALUES

ARCH FINANCE LIMITED

NSE / BSE | DP : NSDL

ACCOUNT OPENING FORM

Branch Code / Sub - Broker Name	
Client Name	
Client Code	
Demat Account No.	IN303403
Date of Registration	

INSTRUCTIONS/CHECK LIST FOR FILLING KYC FORM

A INSTRUCTIONS/CHECK LIST FOR FILLING KYC FORM

1. Self attested copy of PAN card is mandatory for all clients, including Promoters/Partners/Karta/Trustees and whole time directors and persons authorized to deal in securities on behalf of company/firm/others.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicantmentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted
6. Sole proprietormust make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
8. For foreign entities,CINis optional; and in the absence ofDINno. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificatemust be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.

B Proof of Identity (POI): - List of documents admissible as Proof of Identity:

1. Unique Identification Number (UID) (Aadhaar)/ Passport/ Voter IDcard/ Driving license.
2. PAN Card with photograph. This is a mandatory requirement for all applicants except those who are specifically exempt from obtaining PAN (listed in SectionD).
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C Proof of Address (POA): - List of documents admissible as Proof of Address:

(*Documents having an expiry date should be valid on the date of submission.)

1. Passport/ Voters IdentityCard/ Ration Card/ Registered Lease or Sale Agreement of Residence/Driving License/ Flat .Maintenance bill/ Insurance Copy.
2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3months old.
3. Bank Account Statement/Passbook --Not more than 3 months old.
4. Self-declaration by High Court and SupremeCourt judges, giving the newaddress in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co- Operative /Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/Parliament/Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated toUniversities and Professional Bodies such as ICAI, ICWAI, ICSI, BarCouncil etc., to their Members
7. For FII/sub account, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostiled or onsularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

D Exemptions/clarifications to PAN

(Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of CentralGovernment and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UNentities/multilateral agencies exempt from Pay-ing taxes/filing tax returns in India.
4. SIP ofMutual Funds uptoRs 50, 000/- p.a
5. In case of institutional clients, namely, FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary

Types of entity	**Documentary requirements**
Corporate	• Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Copy of latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary/Whole time director/MD (to be submitted every year). • Photograph, POI, POA, PAN and DIN numbers of whole time directors/two directors in charge of day to day operations. • Photograph, POI, POA, PAN of individual promoters holding control - either directly or indirectly. • Copies of theMemorandumand Articles of Association and certificate of incorporation. • Copy of the Board Resolution for investment in securitiesmarket. • Authorised signatories list with specimen signatures
Partnership firm	• Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Certificate of registration (for registered partnership firms only). • Copy of partnership deed. • Authorised signatories list with specimen signatures. • Photograph, POI, POA, PAN of Partners.
Trust	• Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Certificate of registration (for registered trust only). • Copy of Trust deed. • List of trustees certified by managing trustees/CA. • Photograph, POI, POA, PAN of Trustees.
HUF	• PAN of HUF. • Deed of declaration of HUF/ List of coparceners. • Bank pass-book/bank statement in the name of HUF. • Photograph, POI, POA, PAN of Karta.
Unincorporated association or a body of individuals	• Proof of Existence/Constitution document. • Resolution of themanaging body & Power of Attorney granted to transact business on its behalf. • Authorized signatories list with specimen signatures.
Banks/Institutional Investors	• Copy of the constitution/registration or annual report/balance sheet for the last 2 financial years. • Authorized signatories list with specimen signatures
Foreign institutional Investors (FII) Army/ Government Bodies	• Copy of SEBI registration certificate. • Authorized signatories list with specimen signatures • Self-certification on letterhead. • Authorized signatories list with specimen signatures
Registered Society	• Copy of Registration Certificate under Societies Registration Act. • List ofManaging Committeemembers. • Committee resolution for persons authorised to act as authorised signatories with specimen signatures. • True copy of Society Rules and Bye Laws certified by the

INSTRUCTIONS/CHECK LIST FOR FILLING KYC FORM

1. Additional documents in case of trading in derivatives segments - illustrative list:

Copy of ITR Acknowledgement	Copy of Annual Accounts
In case of salary income - Salary Slip, Copy of Form 16	Net worth certificate
Copy of demat account holding statement.	Bank account statement for last 6 months
Any other relevant documents substantiating ownership of assets.	Self declaration with relevant supporting documents.

ACCOUNT OPENING KIT - NSE, BSE & NSDL

INDEX

Location	
Client Code	

EXCHANGE NAME /D.P	SEGMENT	SEBI REGISTRATION NO	DATE
NSE	Cash	INZ000206539	15/10/2018
NSE	F&O	INZ000206539	15/10/2018
NSE	Currency Derivatives	INZ000206539	15/10/2018
BSE	Cash	INZ000206539	15/10/2018
BSE	F&O	INZ000206539	15/10/2018
BSE	Currency Derivatives	INZ000206539	15/10/2018
NSDL	Depository Participant	IN-DP-575-2021	May 2021

Registered Office :

81, 1st Floor, Daryaganj, New Delhi-110002

Ph.: 011-43710000, +91-8595233233 | Fax: 011-43710055

E-mail : care@archfin.com | Website : www.archfin.com

Corporate Address :

81, 1st Floor, Daryaganj, New Delhi-110002

Ph.: 011-43710000, +91-8595233233 | Fax: 011-43710055

E-mail : care@archfin.com | Website : www.archfin.com

Compliance Officer Details (NSE, BSE & NSDL) :

Name : MR. PANCHI JAIN

Phone No. : +91-8595233233, 011-43710000

Email id : compliance@archfin.com

CEO's Details :

Name : MR. NARENDRA GOUSHAL

Phone No. : +91-8595233233, 011-43710000

Email id : ceo@archfin.com

For any grievance/dispute please contact **Arch Finance Ltd**. at the above address or email id: **grievance@archfin.com** and **Ph.: 011-43710000, +91-8595233233**. In case not satisfied with the response, please contact the concerned exchange(s) at:

Exchange Name	Email ID	Phone No.
NSE	ignse@nse.co.in	+91-22-26598100
BSE	is@bseindia.com	+91-22-22721233/34
NSDL	relations@nsdl.co.in	+91-22-24994200

ACKNOWLEDGEMENT

I / We acknowledge receipt of the documents at serial 24, 28, 33, 35, & 39 mentioned overleaf under INDEX. I / We have read the contents of these and agree to abide by them. I / We further understand that the copies of rest of the documents mentioned in Index shall be provided to me / us after Verification / Acceptance / Execution by the broker and Depository Participant.

Sole/First Holder Signature   Second Holder Signature   Third Holder Signature

ARCH FINANCE LTD. | Know Your Client (KYC) | Application Form (For Individuals Only)

Regd. Office : 81, 1st Floor, Daryaganj, New Delhi-110002
Ph. : 011-43710000, +91-8595233233 | Fax : 011-43710055
E-mail : care@archfin.com | Website : www.archfin.com

Please fill in ENGLISH and in BLOCK LETTERS Application No. : ________

A.Identity Details (Please see guidelines overleaf)

PHOTOGRAPH

Please affix the recent passport size photograph and sign across it

(Please see guideline 'D' overleaf)

1. Name of the Applicant (As appearing in support indentification document)

Name ________

Father's/Spouse Name ________

Mother's Name ________

2. Gender ☐ Male ☐ Female **B.Marital Status** ☐ Single ☐ Married **C. Date of Birth** ________

3. Nationality ☐ Indian ☐ Other ________

4. Status Please tick ☑ ☐ Resident Individual ☐ Non Resident

☐ Foreign National (Passport Copy Mandatory for NRIs & Foreign Nationals)

5. PAN ________

Please enclose a duly attested copy of your PAN Card

Aadhaar Number ________

6. Proof of Identity submitted for PAN exempt cases Please tick ☑

☐ UID (Aadhaar) ☐ Passport ☐ Voter ID ☐ Driving Licence ☐ Others _

B. Address Details (please see guidelines overleaf)

1.Address for Correspondence ________

City/Town ________ Pin Code ________

State ________ Country ________

2. Contact Details

Tell Office ________ Tel(Res) ________

Mobile ________ Fax ________

Email-id ________

3.Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick☑ against the document attached.

☐ Passport ☐ Ration Card ☐ Registered Lease/Sale Agreement of Residence ☐ UID ☐ Driving License ☐ Voter Identity Card

☐ *Latest Electricity Bill ☐ *Latest Bank A/c Statement/Passbook ☐ *Latest Gas Bill ☐ *Latest Telephone Bill (only Land Line) ☐ Other ______

*Not more than 3 Months old. Validity/Expiry date of proof of address submitted

Gross Annual Income Details : ☐ < ₹ 1 Lac ☐ =₹ 1-5 Lac ☐ =₹ 5-10 Lac ☐ =₹ 10-25 Lac ☐ ₹ 25 Lac - 1 Crore ☐ > ₹ 1 Crore

4.Permanent Address of Resident Applicant if different from above B1 OR Overseas Address (Mandatory) for Non-Resident / Foreign Nationals Applicant

Line 1 ________

Line 2 ________ Line 3 ________

City/Town/Village ________ Pin Code ________

State ________ Country ________

DECLARATION

1. Ownership Declaration - Contact Detail (s)

A. E-mail, I hereby declare that the Email ID given by me belongs to ☐ My Self ☐ Spouse ☐ Dependent Children ☐ Dependent Parent

B. Mobile, I hereby declare that the Mobile No given by me belongs to ☐ My Self ☐ Spouse ☐ Dependent Children ☐ Dependent Parent

2. Declaration for Electronic Communication ☑ Yes ☐ No

I wish to receive all future communication to me including but not limited to Contract note, Bills and Statement of Accounts to be sent to the above mentioned ID

DECLARATION | **SIGNATURE OF APPLICANT**

I hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it

Place : ________ Date : ________

ATTESTATION / FOR OFFICE USE ONLY

In person Verification (IPV) Details / KYC Verification Carried out by :

Name of the person who has done the IPV : ________

Designation: ________ Employee ID: ________

Name of Sub-Broker/Authorised Person ________

Seal/Stamp of the Intermediary

Name of the Organization: **Arch Finance Limited** Emp. Branch ________

Date of IPV : ________

Signature of the authorised signatory

Signature of the person who has done the IPV

☐ Original Verified, Self Attested Documents & Certified copies received

Date ________

CENTRAL KYC REGISTRY | Know Your Customer (KYC) Application Form | Individual

a) Fields marked with ' * ' are mandatory fields.
b) Tick ' ' wherever applicable
c) Please fill the form in English and in BLOCK letters
d) Please fill the date in DD-MM-YYYY format.
e) For particular section update, please tick ( ) in the box section number and strike off the sections not required to be updated.
f) Please read section wise detailed guidelines / instructions at the end.
g) List of State / U.T code as per Indian Motor Vehicle Act, 1988 is available at the end
h) List of two character ISO 3166 country codes is available at the end
i) KYC number of applicant is mandatory for update application.
j) The 'OTP based E-KYC' check box is to be checked for accounts opened using OTP based E-KYC in non-face to facemode

For office use only
(To be filled by financial institution)

Application Type* ☐ NEW ☑ UPDATE

KYC Number ________ (Mandatory for KYC update request)

Account Type* ☐ Normal ☐ Minor ☐ Aadhaar OTP based E-KYC (in non-face to facemode)

☑ 1. PERSONAL DETAILS * (Please refer instruction A at the end)

☑ Name* (Same as ID proof) ________

Maiden Name ________

Father / Spouse Name ________

Mother Name ________

Date of Birth ________

Gender* ☐ M- Male ☐ F- Female ☐ T-Transgender

PAN ________ ☐ Form60 furnished

☑ 2. PROOF OF IDENTITY AND ADDRESS * (Please refer instruction B at the end)

I. Certified copy of OVD or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the following OVDs)

☐ A- Passport Number ________
☐ B- Voter ID Card ________
☐ C- Driving Licence ________
☐ D-NREGA Job Card ________
☐ E-National Population Register Letter ________
☑ F-Proof of Possession of Aadhaar ________
II ☐ E-KYC Authentication ________
III ☐ Offline verification of Aadhaar ________

☑ **PHOTO ***

PHOTOGRAPH

Please affix the recent passport size photograph and sign across it

Address

Line 1* ________

Line 2 ________

Line 3 ________ City / Town / Village * ________

District* ________ Pin/Post Code* ________ State ________ Country Code* ________

☑ 3. CURRENT ADDRESS DETAILS (Please refer instruction B at the end)

☐ **Same as above mentioned address (In such cases address details as below need not be provided)**

I. Certified copy of OVD or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the following OVDs)

☐ A- Passport Number ________
☐ B- Voter ID Card ________
☐ C- Driving Licence ________
☐ D-NREGA Job Card ________
☐ E-National Population Register Letter ________
☐ F-Proof of Possession of Aadhaar ________
II ☐ E-KYC Authentication ________
III ☐ Offline verification of Aadhaar ________
IV ☐ Deemed Proof of Address - Document Type code ________
V ☐ Self Declaration ________

Address

Line 1* ________

Line 2 ________

Line 3 ________ City / Town / Village * ________

District* ________ Pin/Post Code* ________ State ________ Country Code* ________

☑ **4. CONTACT DETAILS** (All communications will be sent to Mobile number/Email-ID provided) (Please refer instruction C at the end)

Tel.(Off) ____________________ Tel.(Res) ____________________

Mobile ____________________ Email-id ____________________

☐ **5. REMARKS** (If any)

____

____

____

6. APPLICANT DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. Incase any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.

I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address

Date : __________ Place : _________ Signature / Thumb Impression of Applicant

7. ATTESTATION / FOR OFFICE USE ONLY

Documents Received ☐ Certified Copies ☐ E-KYC data received from UIDAI ☐ Data received from Offline verification ☐ Digital KYC Process ☐ Equivalent e-document ☐ Video Based KYC

KYC VERIFICATION CARRIED OUT BY / IPV Done by	
Emp.Name	
Emp. Code	
Emp. Branch	
Emp. Designation	
Date	
Signature	

INSTITUTION DETAILS	
Name	Arch Finance Limited
Code	

ADDITIONAL INFORMATION RELATED TO DEMAT ACCOUNT(FOR INDIVIDUAL)

DP :NSDL • DP ID :IN303403

SEBI Regn. No. : IN-DP-575-2021

Arch Finance Limited

Regd. Office: 81, 1st Floor, Daryaganj, New Delhi-110002

Ph.: 011-43710000, +91-8595233233 Fax: 011-43710055

E-mail : care@archfin.com Website : www.archfin.com

(To be Filled by Depository Participant)

Application No		Date	
DP Internal Refrence No.			
DP ID		Client Id	

(To be Filled by the applicant in BLOCK LETTERS in English)

I/We request you to open a demat accont in my /our name as per follwing details :

HOLDERS DETAILS

Sole/First Holders Name		PAN	
		UID	
Second Holder's Name		PAN	
		UID	
Third Holder's Name		PAN	
		UID	

Occupation (Please tick any one and give brief details)	☐ Private Sector ☐ Public Sector ☐ Government Service ☐ Business ☐ Professional	☐ Agriculturist ☐ Retired ☐ Housewife ☐ Student ☐ Others (Please specify)	☐ Private Sector ☐ Public Sector ☐ Government Service ☐ Business ☐ Professional	☐ Agriculturist ☐ Retired ☐ Housewife ☐ Student ☐ Others (Please specify)	☐ Private Sector ☐ Public Sector ☐ Government Service ☐ Business ☐ Professional	☐ Agriculturist ☐ Retired ☐ Housewife ☐ Student ☐ Others (Please specify)
Breif Details						

TYPE OF ACCOUNT(PLEASE TICK WHICHEVER APPLICABLE)

TYPE OF ACCOUNT :

Status	**Sub Status**
☐ Individual	☐ Individual Resident ☐ Individual Director ☐ Individual Director's Relative ☐ Minor ☐ Individual HUF / AOP ☐ Individual HUF / AOP ☐ Individual Margin Trading A/c ☐ Others :
☐ NRI	☐ NRI Repatriable ☐ NRI Non-Repatriable ☐ NRI Repatriable Promoter ☐ NRI Non-Repatriable Promoter ☐ NRI Depository Receipts ☐ Others
☐ Foreign National	☐ Foreign National ☐ Foreign National Depository Receipts ☐ Others

Status	Sub-Status (To be filled by DP)
☐ Body Corporate ☐ Banks ☐ Trust ☐ Mutual Fund ☐ OCB ☐ FII ☐ CM ☐ FI ☐ Clearing House ☐ others (specify)	

SEBI Registration No. (if applicable		SEBI Registration date:	
RBI Registration No. (if applicable)		RBI Approval Date:	
Nationality	☐ Indian ☐ Others		

FINANCIAL DETAILS

Gross Annual Income Details: Income Range per annum: ☐ Below Rs. 1 Lac ☐ Rs. 1 Lac to 5 Lac
(Please specify) ☐ Rs. 5 Lac to 10 Lac ☐ Rs. 10 Lac to 25 Lac ☐ Rs. 25 Lac to 1 Crore ☐ >1 Crore
Net worth (Net worth should not be older than 1 year) Amount Rs.
as on (date) (Compulsory for Non-Individuals)

please tick, if any of the authorised signatories/Promotors/Karta/Trustees/Whole time directors is eithe

☐ Politically Exposed Person (PEP)	☐ Related to Politically Exposed Person (RPEP)	☐ None
Any other information		

Details of Guardian (in case the account holder is minor)

Guardian Details (where sole holder is manor) [For account of a minor, two KYC & C-KYC application Form must be filled i.e. one for the guardian and another for the minor(to be signed by guardian)

Guardian's Name		PAN	
Relationship with the applicant			

I/We instruct the DP to receive each and every credit in my / our account (if not marked, the default option would be 'Yes')	[Automatic Credit] ☐ Yes ☐ No
I/We would like to instruct the DP to accept all the pledge instructions in my/our account without any other further instruction from my/our end (if not marked, the default option be 'No')	☐ Yes ☐ No
Account Statement Requirement	☑ As per SEBI Regulation ☐ Daily ☐ Weekly ☐ Fortnightly ☐ Monthly
I / We request you to send Electronic Transaction-cum-Holding Statement at the email ID ______________________	☑ Yes ☐ No
I/We would like to share the email ID with the RTA	☑ Yes ☐ No

I/We would like to receive the Annual Report ☐ Physical / ☑ Electronic / ☐ Both Physical & Electronic

☐ I/We wish to Open /Convert this demat account under BSDA category. (Subject to Terms and Conditions related to BSDA)

☐ I/We do not wish to Open /Convert this demat account under BSDA category.

Open/Convert this account as under : ☐ NORMAL PLAN ☐ LOYALTY PLAN ☐ BSDA PLAN ☐ SPECIAL PLAN

CAS MODE : ☐ Physical CAS Required ☐ CAS not Required ☑ CAS Electronic

I/We with to receive dividend/interest directly to in my bank account as given below through ECS. (If not market, the default option would be 'YES') ECS is mandatory for location nofified by SEBI from time to time]	☑ Yes ☐ No

BANK DETAILS (DIVIDED BANK DETAILS)	
Bank Code (9 digit MICR Code)	
IFSC Code (11 Characters)	
Account Number	
Account Type	☐ Saving ☐ Current ☐ Others (Specify)
Bank Name	
Bank Branch Address	

i) Photocopy of the cancelled cheque having the name of the account holder where the cheque books is issued (or)

ii) Photocopy of the Bank Statement having name and address of the BO.

iii) Photocopy of the Passbook Statement having name and address of the BO.(or)

iv) Letter from the Bank

- In case of option (ii),(iii) and (iv) above ,MICR code the branch should be present /mentioned on the document .

SMS Alert facility: [Mandatory if you are giving Power of Attorney (PoA). Ensure that the mobile number is provided in the KYC Application Form]

Sole/First Holder	☐ Yes ☐ No	Second Holder	☐ Yes ☐ No	Third Holder	☐ Yes ☐ No

Mode of Receiving Statement of Account (Tick any one)

☐ Physical Form	☑ Electronic Form [Read Note 4 and ensure that email ID is provided in KYC Application Form]

Nomination Form
[Annexure A to SEBI circular No. SEBI/HO/MIRSD/RTAMB/CIR/P/2021/601 dated july 23,2021 on Mandatory Nomination for Eligible Trading and Demat Accounts]

Arch Finance Limited 81, 1st Floor, Daryaganj, New Delhi-110002	**FORM FOR NOMINATION** (To be filled in by individual applying singly or jointly)

Date		UCC / DP ID	/	Client ID	

☐ I/We wish to make a nomination . [As per details given below]

Nomination Details

I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all the assets held in my / our account in the event of my / our death.

Nomination can be made upto three nominees in the account.	**Details of 1st Nominee**	**Details of 2nd Nominee**	**Details of 3rd Nominee**
1. Name of the nominee(s)			
2. Share of each Nominee Equally [if not equally, please specify percentage]	%	%	%
	Any odd lot after division shall be transferred to the first nominee mentioned in the form.		
3. Relationship with the Applicant (If Any)			
4. Address of Nominee(s) City / Place : State & Country : Pincode :			
5. Mobile / Telephone No. of nominee(s)			
6. Email ID of nominee(s)			
7. Nominee Identification details [Please tick any one of following and provide details of same]			
☐ Photograph & Signature			
☐ PAN			
☐ Aadhaar			
☐ Saving Bank A/c No.			
☐ Proof of Identity			
☐ Demat Account ID			
Sr.Nos. 8-14 should be filled only if nominee(s) is a minor :			
8. Date of Birth {in case of minor nominee(s)}			
9. Name of Guardian {in case of minor nominee(s)}			

10. Address of Guardian(s) City / Place : State & Country : Pincode :			
11. Mobile / Telephone no of Guardian			
12. Email ID of Guardian			
13. Relationship of Guardian with nominee			
14. Guardian Identification details –[Please tick any one of following and provide details of same]			
Photograph & Signature			
☐ PAN			
☐ Aadhaar			
☐ Saving Bank A/c No.			
☐ Proof of Identity			
☐ Demat Account ID			

Name(s) of holder(s)		**Signature(s) of holder**
Sole/First Holder (Mr./Ms.)		
Second Holder (Mr./Ms.)		
Third Holder (Mr./Ms.)		

* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature

Note :
This nomination shall supersede any prior nomination made by the account holder(s), if any.
The Trading Member / Depository Participant shall provide acknowledgement of the nomination form to the account holder(s)

Declaration Form for opting out of nomination
[Annexure B to SEBI circular No. SEBI/HO/MIRSD/RTAMB/CIR/P/2021/601 dated July 23, 2021 on Mandatory Nomination for Eligible Trading and Demat Accounts]

To   Date :

Arch Finance Limited
81, 1st Floor, Daryaganj, New Delhi-110002

UCC / DP ID	/
Client ID (only for Demat account)	
Sole / First Holder Name	
Second Holder Name	
Third Holder Name	

I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our trading / demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our trading / demat account, which may also include documents issued by court or other such competent authority, based on the value of assets held in the trading / demat account.

Name and Signature of Holder(s)

* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature

- NOT APPLICABLE -

SCHEDULE OF DEMAT CHARGES (w.e.f.: 1-4-2012)

ARCH FINANCE LTD.

Member : NSE, BSE • DP (NSDL) DP ID : IN303403 • SEBI REGN. NO. : IN-DP-575-2021

Registered Office : 81, 1st Floor, Daryaganj, New Delhi-110002

Ph.: 011-43710000, +91-8595233233 Fax: 011-43710055

E-mail : care@archfin.com Website : www.archfin.com

ACTIVITY	☐ LOYALTY DEMAT PLAN	☐ NORMAL DEMAT PLAN	☐ SPECIAL DEMAT PLAN	☐ BSDA DEMAT PLAN
Statutory Charges at the time of Account opening	NIL	NIL	NIL	NIL
Plan Details	LIFE TIME FREE ANNUAL MAINTENANCE CHARGES @ Rs. 1,000/- + GST	AMC ANNUALLY @ Rs. 300/- + GST	LIFE TIME FREE ANNUAL MAINTENANCE CHARGES @ Rs.0/- (**On the Special Occasion)	AMC free if shares valuation is 50,001 to 2,00,000/-) When share valuation across 50000 AMC will be charge 100/- and valuation across 2,00,000 account operate as normal A/c

Dematerialisation	Rs. 20/- per DRF + Rs. 3/- per Cer. * (if quantity is more than 1000 on certificate then Rs. 3/- will be charged per 1000 quantity of shares)	Rs. 75/- per DRF + Rs. 10/- per Cer. * (if quantity is more than 1000 on certificate then Rs. 10/- will be charged per 1000 quantity of shares)
Rematerialisation	Rs. 20/- per certificate* or Rs. 20/- per 100 securities or part quantity whichever is higher	Rs. 75/- per certificate* or Rs. 75/- per 100 securities or part quantity whichever is higher
Transaction Charges		
A. Transaction Charges (Market)	0.02% subject to minimum Rs. 16.95/-	0.05% subject to minimum Rs. 35/-
B. Transaction Charges (Off-Market)	0.02% of value of transactions or minimum Rs. 50/- whichever is higher	0.05% of value of transactions or minimum Rs. 50/- whichever is higher
Custody Charges	NIL	NIL
Creation of Pledge	Rs. 50/- per request of 0.02% of transaction value of securities whichever is higher	Rs. 100/- per request of 0.05% of transaction value of securities whichever is higher
Creation of Margin Pledge And Closer	Rs. 20/- per request	Rs. 25/- per request
Close of Pledge	Rs. 50/- per request of 0.02% of transaction value of securities whichever is higher	Rs. 100/- per request of 0.05% of transaction value of securities whichever is higher
Invocation of Pledge	Rs. 50/- per request of 0.02% of transaction value of securities whichever is higher	Rs. 100/- per request of 0.05% of transaction value of securities whichever is higher

Instructions for LOYALTY, NORMAL DEMAT AND SPECIAL DEMAT PLAN CHARGES:-

1. Out of Pocket Expenses
• Dematerialisation of shares mailing charges Rs. 20/- permail (local)
• Dematerialisation of shares mailing charges Rs. 40/- permail (out station)
• In case of demat rejection postage shall be charged @ Rs. 30/- for dispatch of the client for removal of objection.
2. Instruction Booklet : Rs. 10/- & 20/- (Containing 10 & 20 leaves)
3. Non periodic statement & other communications shall be charged @ Rs. 20/- permail.
4. Rs. 25/- for additional ClientMaster.
5. For weekly and fortnightly statement charges extra Rs. 10/- per page, Postage / Courier Charges will be extra.
6. Taxes and other govt. levies will be charged extra as applicable for time to time.
7. AMC will be charged in advance and charged quarterly basis.
8. Incase Bank mandate for debit through ECS is not given, Minimum credit balance of Rs. 200/ - shall be maintained as interest free security.
9. In case of any upward revision is schedule of charges, 30 days notice would be given by publishing in newspaper/post shall treated as sufficient Notice.
10. In case of nonpayment of bill/dues within 15 days of due date, the depository services are liable to be discontinued within a period of 30 days from the date of demand. The renewal charges for resuming the services will be Rs. 100/-.
11. If client give POA to Arch Finance Ltd. then POA charge Rs. 110/- will be applicable (one time charged).
12. Any services notmentioned above will charged extra.
13 Rs. 100/- will be charged to client in case of New Delivery Instruction Booklet is requested without requisition slip.

Instructions for BSDA DEMAT CHARGES

1. Out of Pocket Expenses
• Dematerialisation of sharesmailing charges Rs. 20/- permail (local)
• Dematerialisation of sharesmailing charges Rs. 40/- permail (out station)
• In case of demat rejection postage shall be charged @ Rs. 60/- for dispatch of the client for removal of objection.
2. Instruction Booklet : Rs. 50/- (Containing 20 leaves)
3. Non periodic statement & other communications shall be charged @ Rs. 20/- permail.
4. Rs. 25/- for additional ClientMaster.
5. For weekly and fortnightly statement charges extra Rs. 10/- per page, Postage / Courier Charges will be extra.
6. Taxes and other govt. levies will be charged extra as applicable for time to time.
7. AMC will be charged in advance and charged quarterly basis.
8. In case of any upward revision is schedule of charges, 30 days notice would be given by publishing in newspaper/post shall treated as sufficient Notice.
9. In case of nonpayment of bill/dues within 15 days of due date, the depository services are liable to be discontinued within a period of 30 days from the date of demand. The renewal charges for resuming the services will be Rs. 100/-.
10. If client give POA to Arch Finance Ltd. then POA charge Rs. 110/- will be applicable (one time charged).
11. Any services not mentioned above will charged extra

Sole/First Holder Signature | Second Holder Signature | Third Holder Signature

FATCA/CRS Declaration Form – (Individual)

PAN : ____________ Trading : ____________ DP Code ____________

Name : ____________

Place of Birth : ____________ Country of Birth : ____________

Nationality : ____________

Annual Income : ☐ Below Rs. 1 Lac ☐ Rs. 1 Lac to 5 Lac ☐ Rs. 5 Lac to 10 Lac ☐ Rs. 10 Lac to 25 Lac ☐ Rs. 25 Lac to 1 Crore ☐ > 1 Crore

New Worth Amount Rs

Net Worth as on

Occupation Details : ☐ Business ☐ Private Sector ☐ Professional ☐ Government Service ☐ Public Sector ☐ Agriculturist ☐ Business ☐ Student ☐ Retired ☐ Forex Dealer ☐ Others ____________

☐ Politically Exposed Person (PEP) ☐ Related to Politically Exposed Person (RPEP) ☐ None

Are you a tax resident of any country other than India ☐ Yes ☐ No

If yes please indicates the all countries in which you are resident for tax purpose and the associated Tax ID number below :

Sr.No	Country	Tax Identification Number	Identification Type (TIN or Other, please specify)
1			
2			
3			

DECLARATION

I have read and understood the information requirements and the Terms & Conditions mentioned in this Form (read along with FATCA & CRS instructions) and hereby confirm that the information provided by me on this Form is true, correct and complete. I hereby agree and confirm to inform Arch Finance Ltd. for any modification to this information promptly.

I further agree to abide by the provisions of the scheme related documents inter alia provisions of FATCA & CRS on Automatic Exchange of Information (AEOI).

Sole/First Holder Signature Second Holder Signature Third Holder Signature

Date : ____________ Place : ______

For Investor convenience, Arch Finance.

Arch Finance collecting this mandatory information for updating across all Group Companies of Arch Finance whether you are already an investor or would become an investor in future.

Please submit the form fully filled, signed, for all the holders, separately, and submit at your nearest Arch Finance branch or you can dispatch the hard copy to-

ARCH FINANCE LTD.

Registered Office : 81, 1st Floor, Daryaganj, New Delhi-110002 | Ph.: +91-8595233233, 011-43710000| Fax: 011-43710055

E-mail : care@archfin.com

• For Detail Terms & Conditions please visit: **www.archfin.com**

LIMITED PURPOSE POWER OF ATTORNEY

This Power of Attorney is executed on this ___________ day of ___________ Between Mr./Ms./M/S __________________ S/o/D/o of __________________ registered Office at _________________________ as One Part,

AND

M/S Arch Finance Limited (hereinafter referred to as "ARCH"), a Company duly incorporated under the Companies Act, 1956 and having its Registered Office at 81, 1st Floor, Daryaganj, New Delhi-110002 as the Second Part,

RECITALS

WHEREAS ARCH is providing various securities related services which inter alia includes but is not limited to transactions in connection with purchase or sale of securities, script, stocks etc. Whereas ARCH is a member of Bombay Stock Exchange Ltd, baring SEBI Registration No. INZ000206539, member of National Stock Exchange of India Limited bearing SEBI Registration NO. INZ000206539

WHEREAS I/We hold a Beneficiary account, bearing Client ID/BOID ________________ with Arch Finance Limited, a Depository Participant (DP ID-NSDL- IN303403, CDSL-50500) (hereinafter referred to as "ARCH (DP)" in order to avail the various services being offered by ARCH.

NOW THEASE PRESENTS WITNESSES THAT I/WE do hereby jointly and severally nominate, constitute and appoint ARCH, acting through their respective Director(s), employees or such other person as may be authorized by them by way of Resolution, whether passed in a meeting of the Board of Directors or any Committee formed by the Board, for the aforesaid and various other purpose, as my/our true and lawful attorney to do, execute and perform severally the following acts, deeds, matters and things:

1. To operate the specified aforementioned Beneficiary Account and issue instructions relating to Demat of securities and to sign and execute delivery instruction slip to effect transfer of shares/securities form my/our beneficiary account, to execute receipt instructions, pledge instructions, pledge closure instructions and all such other instructions that may be required to facilitate the transactions undertaken by me/us pursuant to the Member Client Agreement

2. To instruct the ARCH (DP) to debit securities and /or to transfer securities from the aforementioned Beneficiary Account to the Pool Account of ARCH as mentioned herein below for the purpose of delivering the same to the Clearing Corporation of the aforesaid Stock Exchanges towards any segment in respect of the securities sold by me/us through them (Including pay in/pay out due to exercise to rights by ARCH over securities considered as margin/collateral/security):

	NSE		**BSE**	
	NSDL	**CDSL**	**NSDL**	**CDSL**
CM-BP-ID / BO ID	**IN564633**	**12050500 00000045**	**IN632571**	**12050500 00000366**

4. I/we want you to consider the securities in my/our demat account as margin/collateral/security for any and all dealings which as per your records /opinion are done /to be done through./with you. And to instruct the ARCH (DP) to debit securities and /or to transfer securities from my aforementioned Beneficiary Account to the Margin Account(s) of the ARCH as mentioned herein below and for creating/maintaining collateral/security/margin in form of securities:

Account Name	Account No.
Arch Finance Limited - Client Securities Margin Pledge Account	IN303403-10027058
Arch Finance Limited - Client Securities Margin Pledge Account	12050500-00018479

5.To do all such acts, deeds, things as may be required to ensure that all deliveries of securities arising out of transactions undertaken by me/us under the Agreement are duly and properly completed.

6.To do all such other acts or deeds which ARCH in its sole discretion considers necessary in this connection, in order to complete the Transaction(s)

7.Whereby ARCH undertakes to return the securities that may have been received by them erroneously or those securities that it was not entitled to receive.

8. Whereby this Power of Attorney is revocable at any time, without notice to either party

I DO HEREBY for myself, my heirs, executors and administrators do hereby agree to ratify, confirm and validate all and whatsoever my said

Attorney shall do or purport to do or cause to be done by virtue of these presents.

This document shall be subject to the exclusive jurisdiction of the courts of law at the place of acceptance of this Power of Attorney by the Attorney.

I/We do hereby undertake to ratify whatever the said Attorney may lawfully do in and by virtue of these presents

Sole/First Holder Signature

Sole/First Holder Name

____

Address

____

____

Second Holder Signature

Second Holder Name

____

Address

____

____

Third Holder Signature

Third Holder Name

____

Address

____

____

Witness - I		Witness - II		Witness - III	
Name		Name		Name	
Address		Address		Address	
Signature		Signature		Signature	

Attestation by Notary Public or witness that this Power of Attorney was executed by the donor(s) above named before me/us.

Accepted for and on behalf of donee

Signed for and on behalf of donee Arch Finance Ltd. (Stock broking division) in acceptance at ____________________________

____

ARCH
CREATING VALUES

ARCH FINANCE LIMITED

SEBI Regn. No.	: NSE-CM, NSE-F&O, NSE-Currency Derivative INZ000206539
SEBI Regn. No.	: BSE-CM, BSE-F&O, BSE-Currency Derivative INZ000206539
NSDL DP ID	: IN303403 SEBI Regn. No. : IN-DP-575-2021
Regd. Office	: 81, 1st Floor, Daryaganj, New Delhi-110002
Phone No.	: 011-43710000, +91-8595233233 · **Fax** : 011-43410055
E-Mail	: care@archfin.com · **URL** : www.archfin.com